

Applicant Initiated Interview Request Form

Application No.: 09/677,535 First Named Applicant: BENT, Bruce
 Examiner: FELTEN, Daniels Art Unit: 3696 Status of Application: Final Rejection

Tentative Participants:

(1) Ex. Felten (2) Ex. Dixon OR Ex. Trammell
 (3) William Ellis (4) Charles Macedo acting as in-house counsel in supervisory capacity

Proposed Date of Interview: 10/20/09 Proposed Time: 11 (AM/PM)

Type of Interview Requested:

(1) ☐ Telephonic (2) ☒ Personal (3) ☐ Video Conference Joint Interview with Ex. Amelunxen for

Exhibit To Be Shown or Demonstrated: ☐ YES ☐ NO 11/149,278
 If yes, provide brief description: _____

Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>103 Rejection Cl. 51</u>			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

☐ Proposed Amendment or Arguments Attached

Brief Description of Arguments to be Presented:

Distinguish Merrill Lynch Fact sheet, Federal Reserve 1983 letter, Federal Reserve 1988 letter. See also 11/932,762 Request for interview.

An interview was conducted on the above-identified application on _____.

NOTE: This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

 Applicant/Applicant's Representative Signature

 Examiner/SPE Signature

WILLIAM T. ELLIS
 Typed/Printed Name of Applicant or Representative

26874
 Registration Number, if applicable

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.